Old Folks on the Slippery Slope: Elderly Patients and Physician-Assisted Suicide

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The current controversy in the United States over the morality and constitutionality of state statutes that prohibit physicians from assisting patients to commit suicide entails a variety of difficult ethical1 and legal2 issues. In policy terms, the debate has tended to focus on whether legislatures can erect sufficiently stringent yet workable safeguards within state statutes authorizing physician-assisted suicide to protect against serious ethical abuses of this patient/physician prerogative. Proponents of decriminalizing physician-assisted suicide take the affirmative position on this question.3 Skeptics, on the other hand, suggest that opening the door to physician-assisted suicide, however cautiously and conservatively, would inevitably lead (as it apparently has in the current Netherlands experiment with non-prosecution of physicians for participating in physician-assisted

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3. See, e.g., Charles H. Baron, et al., A Model State Act to Authorize and Regulate Physician-Assisted Suicide, 33 HARV J. LEGIS. 1 (1996); Paul Cotton, Rational Suicide: No Longer 'Crazy'? 270 JAMA 797 (1993) (noting that “a shift is under way in societal thinking on the issue, the zeitgeist is changing. . . . The question is will we shift wisely and with adequate safeguards?”)
suicide) to a movement in principle and practice down a moral slippery slope toward unintended consequences that almost everyone would condemn.

Most of the arguments on both sides of this discussion have been crafted in generic terms. While a persuasive case against recognition of a constitutional right to physician-assisted suicide generally can be mounted, as analyzed in the particular context of elderly individuals, the anxieties of the slippery slope skeptics appear especially compelling. These are anxieties evidently shared by a substantial portion of the elderly population, since public opinion polls consistently show lower support for legalization of physician-assisted suicide among older citizens than among younger citizens. The primary reasons for these well-founded worries are briefly outlined below.

I. PARTICULAR RISKS FOR THE ELDERLY

A. Decision Making Capacity

In health care decision making generally, legally and ethically valid choices depend on (besides adequate information and


7. In this article, the term "decision making capacity," which is a working, clinical concept, is used instead of "competence," which implies a formal legal declaration of the individual's status by a court usually in the context of a guardianship proceeding. See Marshall B. Kapp, Key Words in Ethics, Law, & Aging: A Guide to Contemporary Usage 15-16 (1995).

8. For background on informed consent generally, see, e.g., President's Commission for the Study of Ethical Problems in Medicine and Biomedical and Behavioral
voluntariness)\textsuperscript{9} the presence of a cognitively and emotionally capable decision maker. Although defining and evaluating a patient's decision making capacity is an extremely complex and uncertain endeavor,\textsuperscript{10} in essence an individual capable of making decisions has the functional ability to make and express authentic choices, give reasons for those choices indicating deliberation, comprehend and manipulate information material to those choices, and understand the potential personal consequences of the choices.\textsuperscript{11}

As even proponents of physician-assisted suicide have acknowledged,\textsuperscript{12} a capable decision maker is an especially indispensable prerequisite in a physician-assisted suicide situation, as the costs of error are so great and irremediable. Notably, there are at least a couple of grounds for suspecting that a suicidal individual's capacity may be particularly problematic in cases of purported requests for physician-assisted suicide by older persons.

First, the prevalence of dementia increases dramatically in older patients.\textsuperscript{13} While the presence of dementia (a diagnostic category) by itself, particularly in its early stages, does not necessarily equate with decisional incapacity (a functional concept),\textsuperscript{14} in its more severe stages, dementia ordinarily does substantially interfere with an individual's ability to engage in a rational decision making process with respect to important and complicated matters. Physician-assisted suicide certainly qualifies as such a matter.

Much has been made in contemporary ethical and legal discourse of the possibilities for competent patients to guide their

\textsuperscript{9} See discussion infra nn. 29-43 and accompanying text.
\textsuperscript{12} See, e.g., Howard Brody, Assisted Death—A Compassionate Response to a Medical Failure, 327 NEW ENG. J. MED. 1384, 1386 (1992); Timothy E. Quill et al., Care of the Hopelessly Ill: Proposed Clinical Criteria for Physician-Assisted Suicide, 327 NEW ENG. J. MED 1380, 1382 (1992).
\textsuperscript{14} Panagiota V. Caralis, Ethical and Legal Issues in the Care of Alzheimer's Patients, 78 MED. CLINICS N. AM. 877, 878 (1994).
future medical care in the event of subsequent decisional incapacity through the execution of various forms of advance directives. Proposals set forth thus far for physician-assisted suicide would all limit this option to patients with sufficient present decisional capacity to request physician-assisted suicide very shortly before its implementation. It is questionable whether such a restriction, if embodied in a statute, could withstand Equal Protection scrutiny, especially if a right to physician-assisted suicide were not only recognized by the courts but held to be fundamental in kind. Perhaps more pertinent from a practical perspective is the fact that most people want to stay alive as long as they remain mentally intact; indeed, it is only at the point of severe mental deterioration, including decisional incapacity, when some persons would anticipate such a gruesome quality of life that they might ever contemplate an assisted (or an unassisted) suicide option. As G. Kevin Donovan stated:

After all, if assisted suicide is a benefit, why should it be denied to those who waited too late to ask, aren't yet sick enough to merit it, or will never be competent enough. . . . If the guidelines are meant to protect the most vulnerable members of society, how do we justify at the same time depriving them of this benefit? The conflict is both inherent and inevitable.

At the same time, allowing physician-assisted suicide for patients incapable of making decisions through previously executed advance directives, with all of the ambiguities and uncertainties already attending the rise of advance directives in other contexts, would open the door to precisely the types of abuse that proposals to limit physician-assisted suicide to presently capable patients are intended to prevent. On the other hand, legalizing physician-assisted suicide while restricting its availability to individuals with contemporaneous decisional capacity creates a significant risk that individuals diagnosed with early stage dementias would panic and request physician-assisted suicide preemptively and prematurely out of fear of waiting too long. It is likely, too, that unassisted suicides by early stage dementia patients would become more common in this scenario out of the patients' feeling of necessity to act decisively within an

accelerated time frame. The rapid scientific refinement and public availability of genetic testing techniques that may be useful in making more definitive differential diagnoses of early Alzheimer's disease can only complicate this scenario.

A second and closely related decisional capacity problem is the prevalence of depression among older, and especially seriously ill, patients. As with dementia, the simple bestowal of a diagnostic label on older patients should not inevitably lead to an assumption of the global inability of these patients to make medical choices. Nonetheless, an impressive body of evidence indicating a clear connection between severe depression in elderly individuals (not infrequently exacerbated by excessive use of alcohol) and difficulties in complex medical decision making processes, as well as a connection between treatable depression and a desire for death in critically ill patients, should heighten the discomfort about potential abuses of physician-assisted suicide with the older population.

Further, the notion that physicians are able to distinguish ethically and with any socially acceptable degree of confidence and precision between individuals who are capable of making decisions and older individuals who are not is suspect. Even if psychiatric consultation is sought in difficult cases (and most situations of physician-assisted suicide requests ought to be placed

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in this category), as suggested by Timothy Quill and colleagues, there is no assurance either that the psychiatrist would have any special training and expertise in evaluating the decisional capacity of older persons for physician-assisted suicide purposes, or that insurers would routinely pay for such consultations.

B. Voluntariness

In health care decision making generally, and certainly in the context of physician-assisted suicide, only voluntary patient requests made without duress, undue influence or coercion have any plausible claim to legal and ethical legitimacy. Several factors may significantly and perhaps inherently impinge on the voluntariness of purported requests for physician-assisted suicide made by elderly patients.

First, the United States is currently a fundamentally ageist society in which older individuals are often made to feel that they are of little or vastly reduced worth. Despite the tremendous (arguably even disproportionate) public financial resources devoted to the health care and income support of the elderly mainly for political reasons, the continual social message to which the elderly are exposed is a theme that glorifies youth and vitality while devaluing the present contributions of the aged. The upshot of this psychological atmosphere is a potential endangerment of older individuals' freedom of choice. As Nancy Osgood noted:

Older people, living in a suicide-permissive society characterized by ageism, may come to see themselves as a burden on their families or on society and feel it is incumbent on them to take their own lives... The right to die then becomes not a right at all but rather an obligation... In a society that devalues old age and old people, in which older adults are seen as 'expendable' and as an economic burden on younger members, older people may come to feel it is their social duty to kill themselves.

Put somewhat differently:

27. Quill et al., supra note 12, at 1382.
33. Osgood, supra note 23, at 418.
The problem... involves the voluntariness of the decision. If choosing death becomes a socially accepted alternative, then patients needing much care may begin to consider themselves selfish merely for choosing to live. The pressure on the elderly... would be particularly great if death came to be seen as a 'solution' to... old age.\(^{34}\)

Notably, the impact of an ageist society is evidenced already in the disproportionately high rate of suicide attempts and completions among the elderly in the United States.\(^{35}\) By contrast, the suicide rate of the elderly in societies which hold and communicate more respect for the aged is a much rarer event.\(^{36}\)

A second ground for suspicion about the voluntariness of an older individual's purported request for physician-assisted suicide arises from the role of an individual's family in this decision. While normally, families of older patients are an integral, positive force in supporting and assisting the effectuation of the patient's autonomous decision making, this is not always the case.\(^{37}\) The psychological, physical and financial burdens on family members of very sick and frail individuals, especially those with chronic conditions requiring extensive, ongoing provision of care in the home, are great and can affect the patient's exercise of autonomy in many different ways.\(^{38}\) With respect to the present analysis, even the most sincere and well-intentioned families\(^{39}\) in such circumstances may end up, consciously or not, subtly or more directly pressuring the older patient to relieve the family's burden by selecting the physician-assisted suicide option.\(^{40}\) The stress on the family, regardless of its palpability and magnitude, can never be a morally or legally acceptable justification for unduly influencing a vulnerable older person to agree to premature active death hastening.\(^{41}\) Nevertheless, when combined with an older person's own sense of guilt about imposing a burden on the family because of continuing life and thus continuing


\(^{39}\) Not all families meet this ideal. See, e.g., Osgood, supra note 24, at 431-32.

\(^{40}\) See Hendin & Klerman, supra note 24, at 144.

the individual's provision of care, any pressure brought by the family can exert a powerful psychological force on the dependent patient's choices and actions.

C. Ageism in the Health Care System

As noted above, the voluntary nature of an older person's purported request for physician-assisted suicide is threatened both by general ageism in American society and by caregiver burden and other forces acting on the patient's family. Voluntariness, as well as the "informed" component of an older patient's physician-assisted suicide decision, may also be jeopardized by ageist attitudes and practices that directly pervade the present health care delivery system.

From the earliest part of their training, physicians and other health professionals are taught to devalue the lives of older patients. One important way in which this attitude becomes manifested in practice is demonstrated by the overwhelming evidence that chronological age, by itself (that is, not used as a proxy for or indicator of some other, more clinically and ethically defensible consideration such as likely prognosis), frequently is a large risk factor for specific older patients being offered less aggressive medical treatment than normally would be offered to a younger, otherwise identical patient. Thus, as verified most recently in the major national Study to Understand Prognoses and Preferences for Outcomes and Risks of Treatment (SUPPORT), old age per se is used consciously or subconsciously by

42. Concerns about being a burden on one's family are a major reason that Americans cite for considering alternatives to end their lives. Blendon et al., supra note 6, at 2660.

43. Rohde, supra note 20, at 188; Jecker, supra note 4, at 676.

44. See, e.g., Jecker, supra note 4, at 676.


46. Rosemarie B. Hakim et al., Factors Associated With Do-Not-Resuscitate Orders: Patients' Preferences, Prognoses, and Physicians' Judgments, 125 ANNALS INTERN. MED.
many physicians as the basis for: (a) slanting the information and options provided to the patient (or surrogate decision maker, in the case of patients incapable of making decisions), thus diminishing the "informed" part of informed consent; and for (b) applying gentle or more firm pressure on the patient to accept less aggressive care than might otherwise be advised, thus jeopardizing the voluntariness of the patient's decision. This specter of age discrimination in medical practice bodes poorly for any reasonable expectation of just, fair administration of a legalized physician-assisted suicide option for older patients.

The elderly as a group based solely on chronological age are also targeted for proposed discrimination on the macro, or social policy, level as well as at the individual bedside. As American society continues to struggle with the challenge of health care cost containment, a number of serious proposals have been put forward which would, through various strategies, categorically ration health care according to the age of the patient. Although vigorous ethical, legal, economic and social objections to these proposals have been mounted, the enthusiasm with which age-

284, 288, 291 (1996) (Do-Not-Resuscitate orders were written earlier for patients older than seventy-five years of age, regardless of prognosis for survival).

47. For a discussion of the impediments posed by managed care and cost control to the operation of the sorts of effective, collaborative, and committed physician/patient relationships that physician-assisted suicide proponents recognize as essential to assuring that patient choices are voluntarily, knowingly, and capably made, see Eric D. Caine & Yeates C. Conwell, Self-Determined Death, the Physician, and Medical Priorities: Is There Time to Talk?, 270 JAMA 875, 876 (1993).

48. The seminal and best statement of the argument in favor of categorical, age-based health care rationing is found in Daniel Callahan, Setting Limits (1987). See also Daniel Callahan, Old Age and New Policy, 261 JAMA 905 (1989); Norman Daniels, Just Health Care (1985); Norman Daniels, Am I My Parents' Keeper?: An Essay on Justice Between the Young and the Old (1988); Margaret P. Battin, Age Rationing and the Just Distribution of Health Care: Is There a Duty to Die?, 97 Ethics 317 (1987).


based rationing proposals have been received if not embraced by key decision makers ought to shake confidence in our ability, and indeed even our desire, to effectively implement legalized physician-assisted suicide in a non-age discriminatory fashion.

II. ALTERNATIVES TO PHYSICIAN-ASSISTED SUICIDE

The emotional and intellectual appeal of physician-assisted suicide appears to derive from fears patients harbor about suffering unremitting physical pain,\(^5^0\) psychological indignity, loss of control and independence,\(^5^1\) and abandonment during a time of critical illness that for most individuals is likely to occur near the end of life. While end of life concerns may be relevant to persons of all ages, the elderly correctly feel that they are particularly vulnerable to the risks of poor and insensitive care in this context.

The track record of health care professionals in humanely and respectfully caring for patients near the end of their lives surely leaves much to be desired.\(^5^2\) Trying to avoid dealing with deficiencies in current end of life care, however, by allowing and thereby encouraging older persons and others to opt out of the health care delivery system near what elderly persons perceive is the end of their life, and to preempt objectionable care by allowing physician-assisted suicide is not the answer.

Instead, a more ethically and legally viable alternative is to confront current deficiencies directly and improve the ethical as well as clinical quality and process of end of life medical care.\(^5^3\) The Ethics Committee of the American Geriatrics Society has endorsed enhanced pain control through active palliation

\(^{50}\) Blendon, supra note 6, at 2660; Kathleen Foley, The Relationship of Pain and Symptom Management to Patient Requests for Physician-Assisted Suicide, 6 J. PAIN & SYMPTOM MGMT. 290 (1991); Zima, supra note 36, at 399. But see Ezekiel J. Emanuel et al., Euthanasia and Physician-Assisted Suicide: Attitudes and Experiences of Oncology Patients, Oncologists, and the Public, 347 LANCET 1805 (1996) (finding that oncology patients experiencing pain are unlikely to seek physician-assisted suicide unless they are also suffering from clinical depression).


\(^{52}\) The SUPPORT Principal Investigators, A Controlled Trial to Improve Care for Seriously Ill Hospitalized Patients: The Study to Understand Prognoses and Preferences for Outcomes and Risks of Treatments (SUPPORT), 274 JAMA 1591 (1995).

\(^{53}\) See Dame Cicely Saunders, In Britain, Fewer Conflicts of Conscience, 25 HASTINGS CENTER REP. 44 (1995) (stating that good hospice care practically eliminates patients’ requests for death hastening); Margaret P. Battin, The Least Worst Death: Essays in Bioethics on the End of Life (1994). The Institute of Medicine of the National Academy of Sciences (Washington, DC) is conducting a project entitled Care at the End of Life, which is scheduled to produce a report in early 1997.
Improved professional and public support of nonprofessional caregivers would help assure older patients that they will not be left to die alone and impersonally, thereby reducing a primary incentive for individuals to seek physician-assisted suicide as a preemptive measure.

Unlike actively intervening for the purpose, intention and expectation of hastening older patients' deaths by complying with questionably valid physician-assisted suicide requests, physicians who work at improving the quality and process of end-of-life care to make it more responsive to and respectful of the authentic values and preferences of older patients are performing a noble and life-affirming service. To accomplish this objective, initiative is demanded at three distinct but interrelated levels: clinical practice, institutional change and political action. Legalizing physician-assisted suicide, particularly through judicial fiat, would probably destroy health care professionals' incentive to take these needed initiatives and expose vulnerable, fearful elders to the serious and unnecessary risk of being prematurely deprived of the fullness of their days.


